



U.S. Department
of Transportation

**Federal Aviation
Administration**

Memorandum

Subject: **INFORMATION** AIR-200 Policy Memorandum #98-07, Date: June 22, 1998
Clarification to Order 8130.21B

From: Manager, Production and Airworthiness Certification
Division, AIR-200

Reply to
Attn. of: R. Johnson

To: All Manufacturing Inspection Offices and
District/Satellite offices

This policy memorandum addresses questions and comments received in AIR-200 regarding Order 8130.21B, Procedures for Completion and Use of FAA Form 8130-3, Airworthiness Approval Tag. Responses to these questions and comments are addressed in this memorandum and all concurred responses will be incorporated in the next revision to Order 8130.21B.

Question: Is the statement on page 4, paragraph 6b(1), sentence two that reads in part, "An export approval for a new Class III product, etc." correct?

Response: The word "new" was used incorrectly; "newly overhauled" is correct. The order is revised to read: "An export approval for a newly overhauled Class III product,"

Question: Does page 7 of the order, paragraph 8d, titled "RTS after Maintenance, Preventive Maintenance, Rebuilding and Alterations-Used Products/Parts," include all FAA certificated repair stations both domestic and foreign?

Response: Paragraph 8d applies to all FAA certificated repair stations, both domestic and foreign. This paragraph of the order is revised to add: "This paragraph applies to all FAA certificated repair stations, both domestic and foreign."

Comment: Several comments received from Flight Standards requested the following revisions. On page 13, paragraph 101, Block 12, the term "Newly Overhauled" in the second sentence be changed to read "Overhauled." Also, the last sentence in the paragraph needs to be revised to include other terms such as, "MODIFIED," "OVERHAULED," "OHV," and "NEW SURPLUS." It now reads: "Other permissible/appropriate terms to describe the status of the product/part are: "INSPECTED," "REPAIRED," "REBUILT," or "ALTERED."

Response: is revised to read: Enter "NEW" for newly manufactured parts and products (domestic application for engines and propellers). Enter "OVERHAULED" for those products that have not been operated or placed in service since overhaul." Enter "PROTOTYPE" for products/parts submitted to support type certification programs. "Other permissible/appropriate terms are referenced in a specific CFR, i.e., parts 21 and 43 to describe the status of the product/part such as, "INSPECTED," "REPAIRED," "REBUILT," "ALTERED," "MODIFIED," or "OVERHAULED."

NOTE: The terms "NEW SURPLUS" and "OHV" are not to be included since they are not specific terms referenced in the CFR's.

Question: Page 11, paragraph 10d(1), makes reference to "PAH approval or certificate number, when applicable," paragraph (2) and "PAH approval or certificate number." Does this mean the production project number as used in the MIMIS system for APIS, PMA, or TSOA production approval holders?

Response: The certificate number addressed in the order refers to the number that is issued to a facility by the FAA. For example, when a facility is approved to produce and manufacture parts, a production certificate number is issued or assigned. Other examples would be a repair station certificate number or an air carrier certificate number. If these are the same numbers used in MIMIS, then the answer is yes.

Question: Page 15, paragraph 10u, Block 21 makes reference to Certificate Number and lists "the PAH project number, for example, PQ2122CE, PT3255SW, etc." in the paragraph. We understand this is in the return to service blocks, however, it leads us to believe paragraph 10d(1) & (2) are talking about the same PAH approval number?

Response: This was originally added to address field comments in which a number of ASI's wanted to have the PAH project number in the block to trace the products. The reference to PAH project numbers is deleted from this block

The following questions are addressed within the order as it is already written. There will be no revision change to the order for these answers.

Question: Page 10, paragraph 9g, states that all applicable blocks of Form 8130-3 must contain entries for the document to be valid. If all blocks are not completed, then should the receiving repair stations deem the form unacceptable?

Response: The statement is specific to "all applicable blocks must contain entries." If the repair stations deem the blocks applicable to contain information not provided; then the form is not properly completed. This determination should be made by the repair station representative after reading all of the order and examples.

Question: How does Block 9, page 12, paragraph 10i which states in the "NOTE" that information is optional to be filled out in block 9, affect page 10, paragraph 9g, which states that all applicable blocks must contain entries?

Response: Paragraph 10i states in part: "Where parts are TSO articles, state "TSO Article N/A" since the eligibility for installation for TSO articles is determined at the time of installation." The note in block 9 states: "While the information in block 9 is optional, it should be filled out whenever possible. When using FAA Form 8130-3 for CONFORMITY of certification program products, enter N/A." as stated in the last sentence of the block 9 paragraph. There is no conflict with paragraph 9g because of the entry of N/A.

Question: Page 13, paragraph 10m, Block 13(7) provides guidance for exporting Class II & III products. The word export must be entered in this block when used for export. Does the country of destination also need to be put in this block?

Response: The order states to enter the word "EXPORT," in capital letters in this block, there is no requirement to enter the country of destination.

Question: When FAA Form 8130-3 is used as an airworthiness approval tag, what is required in block 13?

Response: Page 14, paragraph 10m, Block 13(12), provides the following instruction: "When used for airworthiness approval for new parts the following statement must be entered in capital letters "AIRWORTHINESS APPROVAL - PARTS. THIS FORM IS NOT AN EXPORT APPROVAL."

All FAA offices should forward this information to all FAA designees. If there are any questions, please contact a member of the Production and Airworthiness Certification Division, AIR-200, at (202) 267-8361.

/s/ Terry Allen for Frank P. Paskiewicz